SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ect

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
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OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

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1. Name and Address of Reporting Person [*] FARMER BROTHERS CO					suer Name and Tic RMER BRO			(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/12/2024						Officer (give til below)		ner (specify ow)		
1912 FARMER BROTHERS DRIVE					Amendment, Date	of Original Fi	ed (Month/Da		6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)										K Form filed by (One Reporting I	Person		
, ,	ТХ	76262							Form filed by More than One Reporting Person					
(City)	(State)	(Zip)		Rule 10b5-1(c) Transaction Indication										
		Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transactio Date (Month/Day/)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							.	(A) or	Dulas	Transaction(s)		,,		

						Code	v	Amount	(A) or (D)	Price	Transacti (Instr. 3 a				1Str. 4)			
Common	Stock		02/12/2	024		A ⁽¹⁾		25,885	A	\$ <mark>0</mark>	330,	679	Ι) (
Common	nmon Stock 125,000 I Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							I R F	y the adoff amily oundation									
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Dat	e Exer ation D	cisable and Date	7. Title a Amount Securiti Underly Derivati Security 3 and 4)	and t of es ving ve y (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e ss ally g d ion(s)	Form: Direct (D) or Indirect	Ownership Form: Beneficial Direct (D) Ownership			

Explanation of Responses:

1. Grant of restricted stock units ("RSUs") under the Farmer Bros. Co. 2017 Long-Term Incentive Plan ("Plan") granted on February 12, 2024 ("Grant Date"). Subject to the provisions of the Plan and the RSU grant agreement, the RSUs shall vest 100% on the earlier of: (i) the one year anniversary of the Grant Date or (ii) the date of the first annual meeting of the Company's stockholders immediately following the Grant Date.

Date

Exercisable

Expiration

Date

Remarks:

/s/ Jared Vitemb, Attorney-in-Fact for Bradley Radoff

Amount or Number

Shares

of

Title

02/13/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code v (A) (D)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.